

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Yasuo TANO

Application No.: 10/088,874

Filed: June 7, 2002

Docket No.: 112372

For: HOLDING APPARATUS FOR VITREOUS BODY SURGICAL CONTACT LENS AND  
HOLDING PORTION AND CONNECTING PORTION FOR VITREOUS BODY  
SURGICAL CONTACT LENS

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☒ 3. An English-language Abstract of the non-English language reference 4 is attached hereto.

Respectfully submitted,

  
James A. Oliff  
Registration No. 27,075

Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/mlb  
Date: October 8, 2002

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

<p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
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(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE  <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY DOCKET NUMBER 112372  U.S. APPLICATION NO. (if known, sec 37 C.F.R. 1.5) 10/088,874
INTERNATIONAL APPLICATION NO. PCT/JP01/11135	INTERNATIONAL FILING DATE December 19, 2001	PRIORITY DATE CLAIMED June 21, 2001
TITLE OF INVENTION HOLDING APPARATUS FOR VITREOUS BODY SURGICAL CONTACT LENS AND HOLDING PORTION AND CONNECTING PORTION FOR VITREOUS BODY SURGICAL CONTACT LENS		
APPLICANT FOR DO/EO/US Yasuo TANO		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). <b>Items 11. to 16. below concern other document(s) or information included:</b> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> Entitlement to small entity status is hereby asserted. 16. <input type="checkbox"/> Other items or information:		

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TECHNOLOGY CENTER R3700

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/088,874		INTERNATIONAL APPLICATION NO. PCT/JP01/11135		ATTORNEY'S DOCKET NUMBER 112372	
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<p>17. <input type="checkbox"/> The following fees are submitted:</p> <p style="margin-left: 20px;"><b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b></p> <p style="margin-left: 20px;">Search Report has been prepared by the EPO or JPO ....\$890.00</p> <p style="margin-left: 20px;">International preliminary examination fee paid to USPTO (37 CFR1.482) .....\$710.00</p> <p style="margin-left: 20px;">No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$740.00</p> <p style="margin-left: 20px;">Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,040.00</p> <p style="margin-left: 20px;">International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00</p> <p style="text-align: right; margin-right: 20px;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p>	<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>																																																																
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:10%;">Number Extra</th> <th style="width:10%;">Rate</th> <th style="width:10%;"></th> <th style="width:10%;"></th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">- 20 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X \$ 18.00</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X \$ 84.00</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="3">Multiple dependent claim(s)(if applicable)</td> <td style="text-align: center;">+ \$280.00</td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4">Reduction by 1/2 for filing by small entity, if applicable.</td> <td style="text-align: center;">-</td> <td style="text-align: center;">\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b></td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4" style="vertical-align: top;">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td style="text-align: center; vertical-align: bottom;">+</td> <td style="text-align: center; vertical-align: bottom;">\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td> <td style="text-align: center;">\$</td> <td></td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right; vertical-align: bottom;">Amount to be refunded</td> <td style="text-align: center; vertical-align: bottom;">\$</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right; vertical-align: bottom;">Charged</td> <td style="text-align: center; vertical-align: bottom;">\$</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate			Total Claims	- 20 =	0	X \$ 18.00	\$		Independent Claims	- 3 =	0	X \$ 84.00	\$		Multiple dependent claim(s)(if applicable)			+ \$280.00	\$		<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$		Reduction by 1/2 for filing by small entity, if applicable.				-	\$	<b>SUBTOTAL =</b>				\$		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$	<b>TOTAL NATIONAL FEE =</b>				\$						Amount to be refunded	\$					Charged	\$
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a. ☐ Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
 OLIFF & BERRIDGE, PLC  
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 Alexandria, Virginia 22320

Date: October 8, 2002

NAME: James A. Oliff  
 REGISTRATION NUMBER: 27,075  
  
  
 NAME: Joel S. Armstrong  
 REGISTRATION NUMBER: 36,430

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 112372	APPLICATION NO. 10/088,874	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANT Yasuo TANO  FILING DATE June 7, 2002		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
	1	WO 99/20171	04/29/1999	WIPO		
	2	EP 0 608 052 A2	07/27/1994	Europe		
	3	WO 93/09719	05/27/1993	WIPO		
	4	JP A 10-216193 w/ abstract	08/18/1998	Japan		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						
EXAMINER				DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

Date: October 8, 2002